

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard
Baltimore, Maryland 21244-1850



OFFICE OF INFORMATION SERVICES

DATE: March 14, 2008

TO: All Medicare Advantage, Prescription Drug Plan, Cost, PACE, and
Demonstration Organizations Systems Staff

FROM: Alan Constantian /s/
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Director, Medicare Plan Payment Group

SUBJECT: Week-at-a-Glance March 16th through March 23rd

The Week-at-a-Glance memo describes the cleanups that CMS is preparing to transmit along with Plan-submitted transactions to Plans over the next two weeks. The focus of each cleanup is described below.

Plans should also be aware that all information is approximate and subject to change. The MMA Help Desk will communicate any changes to the schedule that occur after this memo is published to Plans.

Sunday, March 16, 2008

Transactions reprocessed as a result of the following cleanups will appear on the Plan's March 16th regular weekly TRR. The file will follow the standard naming convention:

Gentran mailbox:

P.Rxxxxxx.TRWEEKR.D080316.Thhmmsst.pn

Connect:Direct (Mainframe):

zzzzzzzz.Rxxxxxx.TRWEEKR.D080316.Thhmmsst

Connect:Direct (Non-Mainframe):

[directory]Rxxxxxx.TRWEEKR.D080316.Thhmmsst

PBP Change Transactions That Received TRC 109 Instead of TRC 112

CMS has addressed software issues that caused a number of Plan Benefit Package (PBP) Change transactions (code 71) submitted between July and December 2007 to be erroneously rejected with TRC 109 – Rejected; Duplicate PBP Number. These transactions should have been rejected with TRC 112 – Rejected; Conflicting Effective Dates.

In order to close the loop with the Plans, CMS will reprocess transactions for impacted beneficiaries where no subsequent successful enrollment transactions have been processed. Plans can expect to see the transactions rejected with the correct reject code TRC 112 – Rejected; Conflicting Effective Dates.

Note: Payments will not be impacted by this cleanup.

PBP Change Transactions That Received TRC 109 Incorrectly

A software problem has been identified, which caused a number of Plan Benefit Package (PBP) Change transactions (code 71) submitted between July and December 2007 to be incorrectly rejected with TRC 109 – Rejected; Duplicate PBP Number. This problem was corrected in December 2007 and the affected transactions will be reprocessed.

Plans should expect to receive one or more of the following Transaction Reply Codes (TRCs) for beneficiaries where no subsequent successful enrollment transactions have been processed:

- TRC 016 – Election Accepted, Out of Area
- TRC 017 – Election Accepted, Payment Default Rate
- TRC 036 – Enrollment Rejected, Beneficiary is Deceased
- TRC 038 – Enrollment Rejected, Duplicate Transaction
- TRC 100 – Election Change Accepted as Submitted
- TRC 106 – Rejected, Another Transaction Received with a Later Application Date
- TRC 120 – Premium Withholding Option Change Accepted
- TRC 131 – Part D Opt-Out Accepted
- TRC 176 – Transaction Rejected: Another Transaction Accepted
- TRC 181 – Part D Premium Change
- TRC 182 – Part C Premium Change

Payment adjustments and premium updates may also occur depending on the requested effective date of the enrollment transaction.

TRC 165s for MA-PDP Change Transactions

CMS continues its efforts to address MA-PDP change transactions (code 01), which were incorrectly rejected with TRC165 - System Delay. These transactions have enrollment

effective dates between November 15, 2005 and November 15, 2006. This problem has been corrected and the transactions will be reprocessed.

CMS will reprocess the affected transactions for beneficiaries where no subsequent successful enrollment transactions have been processed. These transactions will be processed in timestamp order and Plans should expect to receive one of the following Transaction Reply Codes (TRCs):

- TRC 008 – Beneficiary Not Found
- TRC 009 – No Match on Name
- TRC 048 – Nursing Home Certifiable Set
- TRC 060 – Correction Rejected, Not Enrolled in Plan
- TRC 062 – Correction Rejected Overlaps Other Period
- TRC 075 – Institutional Status Set
- TRC 077 – Medicaid Status Set
- TRC 078 – Medicaid Status Terminated
- TRC 097 – Medicaid Previously Turned On
- TRC 098 – Medicaid Previously Turned Off
- TRC 157 – Contract Not Authorized for Transaction Code

Payment adjustments and premium updates will occur depending on the requested effective date of the MA-PDP change. Plans will not receive TRC 165.

Note: Plans will be able to identify these transactions on their Monthly Membership Report (MMR) based on whether or not the Medicaid flag is turned on or off as well as receipt of one of the following Adjustment Reason Codes (ARC 09, 10, 11).

Enrollment Transactions That Received TRC 105

This cleanup will impact beneficiaries who attempted to use their Initial Enrollment Period (IEP) election between January 1, 2008 and February 27, 2008, but were rejected with TRC 105 - Rejected; Invalid Effective Date for Election Type. The enrollment system rejected these transactions that were submitted through batches past the current processing month.

The issue has been corrected and all affected IEP transactions that do not have a subsequent successful enrollment transaction will be reprocessed. Plans should expect to see the enrollment transactions successfully accepted or rejected due to a valid reason. More than one Transaction Reply Codes (TRC) may be assigned to a transaction.

Payment adjustments and premium updates may also occur depending on the requested effective date of the enrollment transaction.

Auto-Enrollment Transactions Rejected with TRC 165

During the January Auto-Enrollments process, it was discovered that some beneficiaries enrolled in more than one PBP were being rejected with TRC165 - System Delay. The impacted beneficiaries had payments for the period, but were not successfully enrolled in the correct PBP.

CMS will reprocess the affected transactions. Plans should expect to receive one or more of the following Transaction Reply Codes (TRCs), but will not receive TRC 165:

- TRC 011 – Enrollment Accepted as Submitted
- TRC 014 – Disenrollment Due to Enrollment in Another Plan
- TRC 015 – Enrollment Canceled
- TRC 016 – Election Accepted, Out of Area
- TRC 017 – Election Accepted, Payment Default Rate
- TRC 037 – Enrollment Rejected, Invalid Date
- TRC 039 – Enrollment Rejected, Currently Enrolled in Same Plan
- TRC 118 – LIS Facilitated Enrollment Accepted
- TRC 120 – Premium Withholding option Change Accepted
- TRC 129 – Part D Enrollment Accepted; Employer Subsidy Flag set; Prior Transaction Rejected
- TRC 144 – Premium Withhold Option Change to Direct Bill
- TRC 170 – Enrollment or Change Accepted; Premium Withhold Option Changed to Direct Billing
- TRC 181 – Part D Premium Change

Payment adjustments and premium updates may also occur depending on the requested effective date of the enrollment transaction.

Sunday, March 23, 2008

At this time, no cleanups are scheduled for inclusion on the March 23rd regular weekly TRR. The file will follow the standard naming convention:

Gentran mailbox:

P.Rxxxxx.TRWEEKR.D080323.Thhmsst.pn

Connect:Direct (Mainframe):

zzzzzzzz.Rxxxxx.TRWEEKR.D080323.Thhmsst

Connect:Direct (Non-Mainframe):

[directory]Rxxxxx.TRWEEKR.D080323.Thhmsst

Please direct questions or concerns to the MMA Help Desk at mmahelp@cms.hhs.gov or 1-800-927-8069.